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PTO/SB/01 (12-97)  
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<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>  <input type="checkbox"/> Declaration Submitted with Initial Filing      OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Attorney Docket Number	44768
	First Named Inventor	BOUKHERROUB, Rabah et al.
	<b>COMPLETE IF KNOWN</b>	
	Application Number	09 / 713,300
	Filing Date	November 16, 2000
	Group Art Unit	1641
Examiner Name		

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**FUNCTIONALISED SILICON SURFACES, AND METHOD FOR THEIR PRODUCTION**

the specification of which (Title of the invention)

☐ is attached hereto  
OR  
☒ was filed on (MM/DD/YYYY) **November 16, 2000** as United States Application Number or PCT International Application Number  and was amended on (MM/DD/YYYY)  (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

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Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:


<input type="checkbox"/> Customer Number <input type="text"/>		<input type="checkbox"/> Registered practitioner(s) name/registration number listed below	
<input type="checkbox"/> OR		<input type="checkbox"/> Place Customer Number Bar Code Label here	
Name	Registration Number	Name	Registration Number
Edwin J. Gale	28,584	Kirby A. Lachaine	33,319
Norris M. Eades	25,263	Robert K. Feutlinske	37,994
John A. Baker	26,656		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number  OR ☒ Correspondence address below

Name	EDWIN J. GALE,				
Address	KIRBY, EADES, GALE, BAKER,				
Address	P.O. Box 3432, Station D,				
City	Ottawa	State	Ontario	ZIP	K1P 6N9
Country	CANADA	Telephone	(613)237-6900	Fax	(613)237-0045

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))			Family Name or Surname		
Rabah			Boukherroub		
Inventor's Signature					Date
Residence: City		State	Country	Citizenship	
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Post Office Address					
180 Bruyere Street, Apt. 303					
Post Office Address					
City	State	ZIP	Country		
Ottawa	ON	K1N 5E1	Canada		

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

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## DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet  
Page 1 of 1

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Daniel D. M.				Wayner			
Inventor's Signature	<i>Daniel D. M. Wayner</i>			Date	Jan 9, 2001		
Residence: City	Ottawa	State	ON	Country	Canada	Citizenship	CAN
Post Office Address	114 Kenilworth Street						
Post Office Address							
City	Ottawa	State	ON	ZIP	K1Y 3Z2	Country	Canada
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
James				Wojtyk			
Inventor's Signature	<i>James Wojtyk</i>			Date	12/01/01		
Residence: City	Ottawa	State	ON	Country	Canada	Citizenship	CAN
Post Office Address	33 Patterson Street, Apt. 1						
Post Office Address							
City	Ottawa	State	ON	ZIP	K1S 1X9	Country	Canada
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	

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IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

Applicant : BOUKHERROUB, Rabah et al.  
Serial No. : Not Known (Div of 09/713,300)  
Filed : Concurrently Herewith  
Title : FUNCTIONALISED SILICON SURFACES, AND  
METHOD FOR THEIR PRODUCTION

**KIRBY EADES GALE BAKER,**  
Box 3432, Stn. D,  
Ottawa, Ontario.  
Canada K1P 6N9

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
United States of America

Dear Sir:

STATEMENT UNDER 37 C.F.R. 1.56

Under the provisions of 37 C.F.R. 1.56, the applicant hereby submits the information set out below which the Examiner may consider to be material to the examination of the application.

This statement is not intended to represent that a search has been made or that no better art exists. Further, the undersigned has no specific knowledge of the effective dates for purposes of qualification as prior art of the cited references, copies enclosed. Consequently, the applicant reserves the right to contest the applicability of these references as prior art against the subject application should it be determined that they are not available as prior art.

This application claims divisional status from earlier Application Serial No. 09/713,300 filed November 16, 2000. The earlier application is thus relied on for an earlier effective filing date under 35 U.S.C. 120. Consequently, Applicant is not submitting any of the references cited in this Information Disclosure Statement as copies may be obtained from the file of the earlier case.

Edwin Gale  
Reg No. 28,584  
Tel (613) 237-6900  
Our File No. 44768-A  
November 17, 2003

Respectfully submitted,

